

End of Transition Period

31st December 2020

Following the end of the Transition Period for the UK departure from the EU (“Brexit”), the UK has implemented legislation to replace Regulation EU No 600/2014 (“MiFIR”), the Markets in Financial Instruments (Amendment) (EU Exit) Regulations 2018 (“UK MiFIR”).

This regulation places requirements on UK Trading Venues to report transactions carried out by clients that are not subject to UK MiFIR (“Third Country Firms”), in a similar manner to Article 2695) of MiFIR.

As a UK Trading Venue, the Mariana UFP OTF has designed a process for such firms to provide transaction information to the Venue for onward reporting to the FCA. Details can be found in Market Notice MN2020-006MUFP - <http://marianaufp.com/market-notices/> - and by contacting your client representative.

These processes become effective on **4th January 2021**